

THE VIRGINIA BOARD OF ACCOUNTANCY
Enforcement Committee
Meeting Minutes
January 9, 2008

The Virginia Board of Accountancy's Enforcement Committee met on Wednesday, January 9, 2008, at 9960 Mayland Drive, Perimeter Center, Second Floor Conference Center, in Hearing Room1, Richmond, Virginia 23233

The following members of the Committee were present:

Dian T. Calderone, MTX, CPA, Enforcement Chairman
Tyrone Dickerson, CPA,

The following staff members were in attendance:

Jean Grant, Enforcement Manager
Deborah Harris, Enforcement Specialist Investigator

There were no members of the public in attendance

The meeting convened at 9:35 a.m.

The Enforcement Committee opened the meeting with respect to Agenda Item A, review of the written procedures for the unintentional violation of unlicensed activity cases. It was unanimously agreed that after a minor amendment to this document, this procedure can be forwarded to the full Board for approval at the next Board meeting.

With respect to agenda item B, the Committee reviewed the requested Sanctions Checklist and determined that it shall remain under review to be deferred until the next E. C. meeting.

The Committee discussed the proposed draft of the Cross Jurisdictional Investigation Policy and after the addition of a brief statement the policy was deemed ready for approval at the next full Board meeting. A date was agreed upon for the next EC meeting for February 15, 2008. With respect to Agenda Item D, a review of open disciplinary cases were discussed in closed session.

Upon a motion by Ms. Calderone, and seconded by Mr. Dickerson, the Committee approved by unanimous vote that the meeting be recessed and that the Committee immediately reconvene in closed session for the purpose of consultation on Committee matters within the jurisdiction of the Board as permitted by § 2.2-3711 A.28 of the Code of Virginia.

The non-members in attendance to reasonably aid the consideration of the topic were:

Jean Grant, Enforcement Manager
Deborah Harris, Enforcement Specialist Investigator

The members voting "AYE: were Ms. Calderone and Mr. Dickerson.

This motion is made with respect to the matter identified as Agenda Item D, Review of Open Cases.

Upon a motion by Ms Calderone, and seconded by Mr. Dickerson, the Committee approved by unanimous vote that the closed meeting, as authorized by § 2.2-3711 A.28 of the Code of Virginia, be

adjourned, and that the Committee immediately reconvene in open public meeting. The members voting “**AYE**” were Ms. Calderone and Mr. Dickerson.

Upon a motion by Ms Calderone, and seconded by Mr. Dickerson, the Committee made the following certification:

WHEREAS, the Board of Accountancy’s Enforcement Committee has convened a closed meeting on this date pursuant to an affirmative recorded vote and in accordance with the provisions of the Virginia Freedom of Information Act; and

WHEREAS, § 2.2-3712 of the Code of Virginia requires a certification by this Board of Accountancy that such closed meeting was conducted in conformity with Virginia law;

NOW, THEREFORE, BE IT RESOLVED that the Board of Accountancy’s Enforcement Committee hereby certifies that, to the best of each member’s knowledge, (i) only public business matters lawfully exempted from open meeting requirements by Virginia law were discussed in the closed meeting to which this certification resolution applies and (ii) only such public business matters as were identified in the motion convening the closed meeting were heard, discussed or considered by the Board of Accountancy.

ROLL CALL VOTE: Tyrone Dickerson, CPA – Aye and Dian T. Calderone, MTX, CPA – Aye

VOTE

AYES: Two (2)

NAYS: None.

Upon a motion by Ms Calderone and seconded by Mr. Dickerson, the Committee voted to take action, as follows:

- Case numbers- 2007-D16, 2007-D22, 2007-PCAOB-01 and 2007-U06 were deferred to the next E. C. meeting for additional information.
- Case number - 2007-D30 was closed as no violation.
- Case number - 2007-E04 Could not be approved.
- Case numbers - 2007-D27 and 2007-U18 were offered Consent Agreements
- Case numbers - 2007-U19 was offered a License Agreement
- Case number- 2007-D28 was referred to mediation.
- Case number - 2007-D17 was deferred for an Informal Fact-Finding Conference
- Case number - 2007-D29 was offered a Consent Order for Revocation.

The meeting was adjourned at 11:45 A.M.

Dian T. Calderone, MTX, CPA
Chairman, Enforcement Committee